Docket No.: PF-3340/NEC/US

DT02 Rec'd PCT/PTO

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re patent application of

Yukiko Morioka, et al.

Serial No.:

10/511,423 v

Group Art Unit:

Not Yet Assigned

Filing Date:

October 15, 2004

Examiner:

Unknown

For:

SEMICONDUCTOR DEVICE AND MANUFACTURING METHOD

THEREFOR

Honorable Commissioner of Patents Alexandria, Virginia 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

Under the provisions of 37 CFR §1.97 through §1.99 and pursuant to applicant's duty of disclosure under 37 CFR §1.56, applicant respectfully brings the following documents listed on the attached form PTO-1449 and some of which are cited in the International Search Report (Form PCT/ISA/210) and International Preliminary Examination Report (Form PCT/IPEA/409, to the attention of the Examiner in charge of the above-identified application. Copies of the listed documents are provided herewith for the convenience of the Examiner. Further, in compliance with the concise explanation requirement under 37 CFR §1.98(a)(3), for foreign language documents English-language Abstract are attached.

This citation does not constitute an admission that the references are relevant or material to the claims. They are only cited as constituting related art of which the applicant is aware.

It is respectfully requested that the listed references be considered by the Examiner and formally made of record in this application.

Please charge any deficiencies in fees and credit any overpayment of fees to Attorney's Deposit Account No. 50-0481.

Respectfully submitted,

Sean M. McGinn, Esq. Registration No. 34,386

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Intellectual Property Law

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INFORMATION DISCLOSURE NOITA (Use several sheets if necess

ATTY DOCKET NO. PF-3340/NEC/US APPLICANT(S)

APPLICATION NO.

10/511,423

Heiji Watanabe

FILING DATE October 15, 2004 **GROUP ART UNIT**

Not Yet Assigned

U.S. PATENT DOCUMENTS											
*EXAMINER INITIAL			DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE			
		5,590	6,214	01/21/1997	Endo						
		6,278,164 B1		08/21/2001	Hieda et al.						
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FOREIGN PATENT DOCUMENTS											
		DOCUMENT NUMBER		DATE	COUNTRY	CLASS	SUBCLASS	TRANSI YES	ATION NO		
		3-30470		02/08/1991	Japan			ABS			
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		7-326681		12/12/1995	Japan		!	ABS			
		2000-307083		11/02/2000	Japan			ABS			
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)											

EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

INFORMATION DISCLOSURE (Use several sheets if necess

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October 15, 2004

GROUP ART

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U.S. PATENT DOCUMENTS										
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		2000-307010	11/02/2000				ABS			
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		OTHER DOCUME	ENTS (Includin	ng Author, Title, Date, Pertinen	t Pages, Etc.)		<u> </u>			
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